



UNITED NATIONS DEVELOPMENT PROGRAMME
GOVERNMENT OF UZBEKISTAN
Project Budget

Output ID: 00105734
Project ID: 00103941
Project Title: Support to Policy Research for Sustainable Development

Start Year: May 22, 2017
End Year: December 31, 2019

Implementing agency: Office of the President
of the Republic of Uzbekistan

	Budget Financing (in US\$)								Variation
	Total	Revision "I"			Revision "H"			Total	
		2017	2018	2019	2017	2018	2019		
INPUTS									
UNDP TRAC 1 04000	227,918.53	64,250.12	43,668.41	120,000.00	252,918.53	64,250.12	43,668.41	145,000.00	25,000.00
UNDP TRAC 2 30084	36,693.00		36,693.00		32,262.00		32,262.00		-4,431.00
UNDP TRAC 3 11425	12,450.00		12,450.00		14,069.59		14,069.59		1,619.59
Catalytic Facility SDG	85,077.56	18,593.98	66,483.58		85,077.56	18,593.98	66,483.58		0.00
EU/EC funds	12,165.80			12,165.80	12,165.80			12,165.80	0.00
IFMR C/S funds	1,635.82	1,635.82			1,635.82	1,635.82			0.00
C/S CER funds	15,616.54		15,361.20	255.34	15,614.54		15,614.54		-2.00
TOTAL INPUTS	391,557.25	84,479.92	174,656.19	132,421.14	413,743.84	84,479.92	172,098.12	157,165.80	22,186.59
Catalytic Facility SDG GMS 8%	6,806.20	1,487.52	5,318.68		6,806.20	1,487.52	5,318.68		0.00
IFMR GMS 3%	49.08	49.08			49.08	49.08			0.00
CER GMS 3%	468.44		460.78	7.66	468.44		468.44		0.00
TOTAL	398,880.97	86,016.52	180,435.65	132,428.80	421,067.56	86,016.52	177,885.24	157,165.80	22,186.59

Responsible Party: UNDP, Office of the President of the Republic of Uzbekistan, Ministry of Economy, Center for Economic Research, Institute of Forecasting and Macroeconomic Research, Center for Development Strategy, Institute for Social Research

Revision Type: General

Brief Description:

The budget revision is conducted to reflect the new budget for 2019 with decreased TRAC and the transfer of unused government cost-sharing funds in the amount of \$263 to the 2019 budget

Approved by: Signature: _____ Date: _____

UNDP

Mr. Farid Garakhanov
Resident Representative a.i., UNDP Uzbekistan

Signature: _____ Date: _____

Mr. Ravshan Yuldashev, NPC
Head of the Sector on social and economic reforms,
Office of the President of the Republic of Uzbekistan



EXPECTED OUTPUTS <i>And baseline, indicators including annual targets</i>	Annual (2019-2020) Target	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	Timeframe				Responsible Party (code of Implementation)	PLANNED BUDGET				
			Q1	Q2	Q3	Q4		Funding		Account	Budget Description	Budget 2019
								Fund	Donor			
Output 1: National data collection, measurement and analytical systems improved to monitor progress on the post-2015 agenda and SDGs. Indicators: 1.1. Status of formulation of National Programme for SDG implementation Baseline: Government Resolution on SDG localization adopted, Steering Committee and 6 thematic groups on SDG localization formed 1.2 Status of development of data collection/analysis methodologies providing sex/age-disaggregated data to monitor progress on national SDGs. Baseline: No; 1.3 Status of development of an online database for regular monitoring of nationalized SDGs Baseline: Government Resolution on SDG localization stipulates the establishment of an open database on SDG monitoring	Formulation of national SDGs, targets, and indicators finalized for the adoption by the government Formulation of national SDGs, targets, and indicators finalized for the adoption by the government Design of the database agreed, available SDG targets and indicators uploaded	Activity 1 - Provide technical support to the national Coordination Council on SDG localization and the corresponding six thematic groups (Indicators, metadata, methodologies) - Support the development of concepts and annual national action plan for the implementation of the national SDGs - Support in elaboration of the National SDG Report - Recruit national consultants involved in the SDG localization, develop TORs, ensure quality, and monitor their work - Launch of the web-portal for national SDGs (with targets, indicators, baselines, and meta-data) - Arrange for streamlined preparation of materials (analytical articles, news, data, graphs, infographics, etc.), which amongst other things promote the post-2015 development agenda (eg. SDGs, green economy, resource efficiency, social inclusion, innovation, modernization, and transformation)	x	x	x	x	UZB GOV (001981)	04000	00012	71300	Local consultants (IC)	\$ 4 000,00
			x	x	x	x		04000	00012	71400	Contractual Serv-Indiv SC (PC+RC)	\$ 17 350,00
			x	x	x	x		04000	00012	77200	Contractual SC (CO)	\$ 1 300,00
			x	x	x	x		04000	00012	77300	Contractual SC (CO)	\$ 65,00
			x	x	x	x		04000	00012	74500	Miscellaneous (bank charge)	\$ 312,00
			x	x	x	x		04000	00012	75700	Training, Workshops and Confer	\$ 200,00
			x	x	x	x		04000	00012	64397	Direct project costs - Staff	\$ 2 102,00
			x	x	x	x		04000	00012	74596	Direct project costs - GOE	\$ 901,00
			\$ 26 230,00									
1.4 Number of flagship diagnostics carried out to inform policy options on national response to globally agreed development agenda, including with analysis of sustainability and risk resilience, with post-2015 poverty eradication commitments and targets specified Baseline: 0; 1.5 Number of analytical reports (studies, policy briefs, etc.) and policy publications published and disseminated Baseline: 0;	Policy papers and studies (Factors of informality, transformation of natural monopolies, green transportation, accession to the WTO)	Activity 2 - Recruit teams of experts, national consultants to conduct policy research (incl. factors of informality, transformation of natural monopolies, green transportation, accession to the WTO, etc.) - Recruit company to conduct surveys and studies - Organize regular visibility, outreach, and discussion events (ie, Development Dialogues, round-table discussions, presentations of reports) - Develop and implement a comprehensive communication strategy to ensure as wide as possible involvement of stakeholders and outreach of policy discussion and recommendations - Involve international consultants as necessary to rectify any capacity and knowledge gaps, and/or bring international best practices and innovations - Facilitate broad-based consultations of the thematic working groups involving national and international stakeholders through workshops and round-table discussions	x	x	x	x	UZB GOV (001981)	04000	00012	71300	Local consultants (IC)	\$ 19 576,00
			x	x	x	x		04000	00012	71400	Contractual Serv-Individuals SC (RC)	\$ 16 000,00
			x	x	x	x		04000	00012	71600	Travel	\$ 224,00
			x	x	x	x		04000	00012	72100	Contractual Services-Company	\$ 360,00
			x	x	x	x		04000	00012	74200	Printing	\$ 2 000,00
			x	x	x	x		04000	00012	74500	Miscellaneous (bank charge)	\$ 200,00
			x	x	x	x		04000	00012	74596	Direct project costs - GOE	\$ 1 750,00
			x	x	x	x		04000	00012	64397	Direct project costs - Staff	\$ 4 000,00
			x	x	x	x		30079	11724	71300	Local consultants (IC)	\$ 9 848,80
			x	x	x	x		30079	11724	74200	Printing	\$ 500,00
			x	x	x	x		30079	11724	75700	Training, Workshops and Confer	\$ 500,00
			x	x	x	x		30079	11724	74500	Miscellaneous (bank charge)	\$ 100,00
			x	x	x	x		30079	11724	74596	Direct project costs - GOE	\$ 365,00
			x	x	x	x		30079	11724	64397	Direct project costs - Staff	\$ 852,00
			x	x	x	x		30071	01077	72100	Contractual Services-Company	\$ 255,34
			x	x	x	x		30071	01077	75100	Facilities&AdminSvs GMS/CER 3%	\$ 7,66
\$ 56 538,80												
ACTIVITY 3: PROJECT MANAGEMENT and ADMIN			x	x	x	x		04000	00012	71400	Contractual services SC (PM, AFA)	\$ 44 800,00
			x	x	x	x		04000	00012	72400	Communication (Mobile, e-mails,etc.)	\$ 1 060,00
			x	x	x	x		04000	00012	72100	Contractual Services (Taxi,off.supplies)	\$ 1 700,00
			x	x	x	x		04000	00012	74500	Miscellaneous (bank charge)	\$ 100,00
			x	x	x	x		04000	00012	74596	Direct project costs - GOE	\$ 300,00
			x	x	x	x		04000	00012	64397	DPC for staff costs - Staff	\$ 1 700,00
\$ 49 660,00												
Total for Activity 3												
							04000	00012	Total UNDP TRAC		\$ 120 000,00	
							30079	11724	Total EURO Fund		\$ 12 165,80	
							30071	01077	CER Cost sharing funds		\$ 263,00	
							GRAND TOTAL			\$ 132 428,80		

Prepared by: Diyora Kabulova
 Project Manager, PRSD

Cleared by: Adham Kuchkarov
 Programme Coordinator, SDC

Approved by: Hurshid Rustamov
 Head of Sustainable Development Cluster



United Nations Development Programme

Uzbekistan

Year: 2018

Project ID: 00103941

Output ID: 00105734

Cleared by DRR

Project Title: Support to Policy Research for Sustainable Development

UNDP EXPECTED OUTPUTS <i>And baseline, indicators including annual targets</i>	Annual (2017-2018) Target	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	Timeframe				RESPONSIBLE PARTY (i.e. code of Impl agency)	PLANNED BUDGET										
			Q1	Q2	Q3	Q4		Funding source		Account	Budget Description	Approved Budget 2018	Difference	New Budget 2018				
								Fund	Donor									
Output 1: National data collection, measurement and analytical systems improved to monitor progress on the post-2015 agenda and SDGs. Indicators: 1.1: Status of formulation of National Programme for SDG implementation Baseline: Government Resolution on SDG localization adopted, Steering Committee and 6 thematic groups on SDG localization formed 1.2 Status of development of data collection/analysis methodologies providing sex/age-disaggregated data to monitor progress on national SDGs. Baseline: No; 1.3 Status of development of an online database for regular monitoring of nationalized SDGs Baseline: Government Resolution on SDG localization stipulates the establishment of an open database on SDG monitoring	Formulation of national SDGs, targets, and indicators finalized for the adoption by the government Formulation of national SDGs, targets, and indicators finalized for the adoption by the government Design of the database agreed, available SDG targets and indicators uploaded	Activity 1 - Provide technical support to the national Coordination Council on SDG localization and the corresponding six thematic groups - Support the development of a national action plan for the implementation of the national SDGs - Recruit national consultants involved in the SDG localization, develop TORs, ensure quality, and monitor their work - Recruit a web-developer company to design and launch an open-data web-portal for national SDGs (with targets, indicators, baselines, and meta-data) - Involve international consultants as necessary to rectify any capacity and knowledge gaps, and/or bring international best practices and innovations - Form teams of national consultants to undertake research, studies, policy documents, and trainings (including on green climate financing) - Facilitate broad-based consultations of the thematic working groups involving national and international stakeholders through workshops and round-table discussions				X	UZB GOV (001981)	30084	00012	71400	Contractual Serv-Indiv SC (PC)	\$ 3 500,00		\$ 3 500,00				
						X		30084	00012	77200	Contractual SC (CO)	\$ 470,00		\$ 470,00				
						X		30084	00012	77300	Contractual SC (CO)	\$ 30,00		\$ 30,00				
						X		11425	00012	71300	Local consultants (IC)	\$ 3 030,00	\$ (1 619,12)	\$ 1 410,88				
						X		11425	00012	72100	Contractual Services-Company	\$ 1 000,00		\$ 1 000,00				
						X		11425	00012	64397	Direct project costs - Staff	\$ 500,00		\$ 500,00				
						X		11425	00012	74500	Direct project costs - GOE	\$ 191,00		\$ 191,00				
												04000	00012	71300	Local consultants (IC)	\$ -		\$ -
						X		X	X	X		04000	00012	71400	Contractual Serv-Indiv SC (PC)	\$ 1 881,13		\$ 1 881,13
						X			X	X		04000	00012	71500	UN Volunteers Cost	\$ 168,00		\$ 168,00
									X	X		04000	00012	77200	Contractual SC (CO)	\$ 686,00		\$ 686,00
									X	X		04000	00012	77300	Contractual SC (CO)	\$ 38,00		\$ 38,00
						X		X	X	X		04000	00012	74500	Miscellaneous (bank charge)	\$ 57,17		\$ 57,17
						X		X	X	X		04000	00012	74596	Direct project costs - GOE	\$ 70,00		\$ 70,00
						X		X	X	X		04000	00012	64397	Direct project costs - Staff	\$ 161,07		\$ 161,07
						X		X				30000	00244	71400	Contractual Serv-Individ (PC+RC)	\$ 18 050,00		\$ 18 050,00
						X		X				30000	00244	74500	Miscellaneous (bank charge)	\$ 155,00		\$ 155,00
						X		X				30000	00244	71300	Local consultants (IC)	\$ 10 120,00		\$ 10 120,00
						X		X				30000	00244	72100	Contractual Services-Company	\$ 295,00		\$ 295,00
						X		X				30000	00244	74200	Printing	\$ -		\$ -
						X		X				30000	00244	74596	Direct project costs - GOE	\$ 1 550,00		\$ 1 550,00
						X		X				30000	00244	64397	Direct project costs - Staff	\$ 1 340,00		\$ 1 340,00
						X						30000	00244	75100	Facilities&Adm GMS CF 8%	\$ 2 520,80		\$ 2 520,80
			Total for Activity 1												\$ 45 813,17	\$ (1 619,12)	\$ 44 194,05	
				Employment and labour market; and new poverty definition	Activity 2 - Recruit of local expert to conduct study on identifying priority national indicators, prepared a tier-classification, roadmap, needs assessment - Recruit teams of experts, national consultants, journalists, publishers, designers for the Economic Review magazine - Recruit company to conduct surveys and studies - Arrange for streamlined preparation of materials (analytical articles, news, data, graphs, infographics, etc.) which amongst					X		30084	00012	71300	Local consultants (IC)	\$ 3 210,00	\$ 4 430,27	\$ 7 640,27
						X	30084	00012	71400	Contractual Serv-Individ (RC)		\$ 5 700,00		\$ 5 700,00				
						X	30084	00012	71600	Travel		\$ 2 410,00		\$ 2 410,00				
						X	30084	00012	74596	Direct project costs - GOE		\$ 544,32		\$ 544,32				
						X	30084	00012	64397	Direct project costs - Staff		\$ 600,41		\$ 600,41				
						X	11425	00012	71400	Contractual Serv-Individuals (OG)		\$ 1 900,00		\$ 1 900,00				
						X	11425	00012	71300	Local consultants (IC)		\$ 1 185,12		\$ 1 185,12				
						X	11425	00012	74200	Printing		\$ 3 500,00		\$ 3 500,00				
						X	11425	00012	74596	Direct project costs - GOE		\$ 763,00		\$ 763,00				
						X	11425	00012	64397	Direct project costs - Staff		\$ 2 000,00		\$ 2 000,00				

UN DP	EXPECTED OUTPUTS And baseline, indicators including annual targets	Annual (2017-2018) Target	PLANNED ACTIVITIES List activity results and associated actions	Timeframe				RESPONSIBLE PARTY (i.e. code of implacency)	PLANNED BUDGET						
				Q1	Q2	Q3	Q4		Funding source		Account	Budget Description	Approved Budget 2018	Difference	New Budget 2018
									Fund	Donor					
1.4 Number of flagship diagnostics carried out to inform policy options on national response to globally agreed development agenda, including with analysis of sustainability and risk resilience, with post-2015 poverty eradication commitments and targets specified Baseline: 0; 1.5 Number of analytical reports (studies, policy briefs, etc.) and policy publications published and disseminated Baseline: 0;	And baseline, indicators including annual targets		Infographics, etc.), which amongst other things promote the post-2015 development agenda (eg, SDGs, green economy, resource efficiency, social inclusion, innovation, modernization, and transformation) - Organize regular visibility, outreach, and discussion events (ie, Development Dialogues, round-table discussions, presentations of reports) - Develop and implement a comprehensive communication strategy to ensure as wide as possible involvement of stakeholders and outreach of policy discussion and recommendations				X	UZB GOV (001981)	04000	00012	71400	Contractual Serv-Individuals (OG)	\$ 3 798,00		\$ 3 798,00
				X	X	X	X		04000	00012	71300	Local consultants (IC)	\$ 2 722,00		\$ 2 722,00
				X	X	X	X		04000	00012	72100	Contractual Services-Company	\$ 179,50		\$ 179,50
				X	X	X	X		04000	00012	74500	Miscellaneous (bank charge)	\$ 43,09	\$ 0,73	\$ 43,82
				X	X	X	X		04000	00012	74596	Direct project costs - GOE	\$ 272,17		\$ 272,17
				X	X	X	X		04000	00012	64397	Direct project costs - Staff	\$ -		\$ -
				X	X				30000	00244	75700	Training, Workshops and Confer	\$ 900,00		\$ 900,00
				X	X				30000	00244	71200	International consultants	\$ -		\$ -
				X	X				30000	00244	71300	Local consultants (IC)	\$ 20 910,00		\$ 20 910,00
				X	X				30000	00244	71600	Travel	\$ 5 030,47		\$ 5 030,47
				X	X				30000	00244	74200	Printing	\$ 3 520,00		\$ 3 520,00
				X	X				30000	00244	74500	Miscellaneous (bank charge)	\$ 178,00		\$ 178,00
				X	X				30000	00244	74596	Direct project costs - GOE	\$ 1 351,79		\$ 1 351,79
				X	X				30000	00244	64397	Direct project costs - Staff	\$ 2 700,00		\$ 2 700,00
							X		30000	00244	75100	Facilities&AdminSvs GMS CF 8%	\$ 3 181,20		\$ 3 181,20
							X		30071	01077	74200	Printing	\$ 14 630,00	\$ (323,00)	\$ 14 307,00
							X		30071	01077	72100	Contractual Services-Company	\$ 370,00	\$ -	\$ 370,00
							X		30071	01077	64397	Direct project costs - Staff	\$ 600,00	\$ 50,00	\$ 650,00
							X		30071	01077	74500	Miscellaneous (bank charge)	\$ 15,00	\$ 19,00	\$ 34,00
							X		30071	01077	75100	Facilities&AdminSvs GMS/CER 3%	\$ 468,45	\$ (7,47)	\$ 460,98
Total for Activity 2												\$ 82 682,52	\$ 4 169,53	\$ 86 852,05	

Project Management	Activity 3. General Management Support				X	UZB GOV (000641)	30084	00012	71400	Contractual services (PM, AFA)	\$ 14 230,00		\$ 14 230,00				
					X		30084	00012	72100	Contractual Services (Taxi,...)	\$ 775,00		\$ 775,00				
					X		30084	00012	72400	Communication (Mobile, etc.)	\$ 125,00		\$ 125,00				
					X		30084	00012	74500	Miscellaneous (bank charge)	\$ 274,00		\$ 274,00				
					X		30084	00012	64397	DPC for staff costs - Staff	\$ 394,00		\$ 394,00				
		X	X	X	X		04000	00012	71400	Contractual services (PM, AFA)	\$ 28 457,00		\$ 28 457,00				
		X	X	X	X		04000	00012	72400	Communication (Mobile, etc.)	\$ 595,00		\$ 595,00				
		X	X	X	X		04000	00012	74500	Miscellaneous (bank charge)	\$ 403,55		\$ 403,55				
		X	X	X	X		04000	00012	72100	Contractual Services (Taxi,...)	\$ 241,00		\$ 241,00				
		X	X		X		04000	00012	72200	Furniture	\$ 1 334,00		\$ 1 334,00				
		X	X	X	X		04000	00012	74596	Direct project costs - GOE	\$ 1 323,00		\$ 1 323,00				
		X	X	X	X		04000	00012	64397	DPC for staff costs - Staff	\$ 1 238,00		\$ 1 238,00				
		Total for Activity 3												\$ 49 389,55	\$ -	\$ 49 389,55	

Prepared By: Diyora Kabulova Project Manager, PRSD	Cleared By: Adham Kuchkarov Programme Coordinator, SDC	04000	00012							\$ 43 667,68	\$ 0,73	\$ 43 668,41
		11425	00012	Total UNDP TRAC						\$ 14 069,12	\$ (1 619,12)	\$ 12 450,00
		30084	00012	Total Catalytic Fund (SDG)						\$ 32 262,73	\$ 4 430,27	\$ 36 693,00
		30000	00244	CER Cost sharing funds						\$ 71 802,26	\$ -	\$ 71 802,26
		30071	01077	GRAND TOTAL						\$ 16 083,45	\$ (261,47)	\$ 15 821,98
		GRAND TOTAL								\$ 177 885,24	\$ 2 550,41	\$ 180 435,65

Approved By: Hurshid Rustamov
Head of Sustainable Development Cluster

Gender Marker revised No

Yes, revised marker is " ____ " and agreed with Gender Associate _____

Cleared by Programme Focal point 

Cleared by DRR 

Project Revision Check List

Output ID: 00105734 Support to Policy Research for Sustainable Development (PRSD)

Project ID: 00103941

Rev "I" Substantial

	Items Checked	Yes	No
1	PRRF/WP available/up-to-date	✓	
	<u>Project Information correctly entered into Atlas</u>	✓	
2	Revision Type correct	✓	
3	Revision Code correct	✓	
4	Justification made	✓	
5	Revision reason duly explained (in Brief Description field)	✓	
6	Project Status correct	✓	
7	Impl. Agents/Resp.Parties correct	✓	
	<u>Financial Data</u>		
8	Atlas GL Details correct	✓	
9	UNDP input correct	✓	
10	Cost Sharing	✓	
a	Cost Sharing Agreement available	✓	
b	Cost Sharing Input correct	✓	
13	2016 Delivery (%)	101%	
	<u>Inputs</u>		
14	Micro-capital grants exceeding \$150,000 per recipient	-	
	<u>Monitoring</u>		
15	Quarterly/annual/final report available in Atlas?	✓	
16	Did TPR, Project Board meeting take place?	✓	
18	Equipment Inventory/Transfer done (in case of project closure)	✓	
	<u>Approval</u>		
19	Signatories correct	✓	

If Operational Closure: following documents have been submitted:

	Items Checked	Yes	No
20	Signed TPR with minutes of Project Review meeting	✓	
21	Other project reports and minutes of the important project meetings (e.g. evaluation reports, donor reports, annual reports, annual project review meeting, etc.)	✓	
22	Originals of all previous project budget revisions	✓	
23	Copies of CDRs signed by NPC	✓	
24	Copy of the document on Transfer of all Assets procured within the project cycle	✓	

Reviewed by RMU


signature, date